



IFW 2134

Attorney's Docket No: 42336.010500

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re application of: :  
**DOWLING, William Race** : Group Art Unit: 2134  
Serial No.: 09/859,667 : Examiner: JUNG, David Yiuk  
Filed: May 18, 2001 :  
For: **System and Method for Secure Data Transmission**

**AMENDMENT TRANSMITTAL**

AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

1. Transmitted herewith is a Response Under 37 C.F.R. §1.111 in response to the outstanding non-final Office Action mailed on November 15, 2004, in the above-referenced application.

2. Additional papers enclosed:

- ☐ Drawings: ☐ Formal (Corrections) Informal
- ☐ Supplemental Information Disclosure Statement (PTO Form 1449)
- ☐ Submission of "Sequence Listing." Computer readable copy and/or amendment pertaining thereto for biotechnology invention containing nucleotide and/or amino acid sequence.
- ☐ Change Of Correspondence Address

3. EXTENSION OF TIME

The proceedings herein are for a patent application and the provisions of 37 C.F.R. 1.136(a) apply.

- ☐ Applicant believes that no extension of time is required. However, this conditional petition is being made to provide for the possibility that Applicant has inadvertently overlooked the need for a petition and fee for extension of time

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Reply to Non-Final Office Action of November 15, 2004

- ☒ Applicant petitions for an extension of time, the fees for which are set out in 37 C.F.R. § 1.17(a)-(d), for the total number of months checked below:

Total Months Requested	Fee for Extension	Fee for Small Entity
<input type="checkbox"/> one month	\$120.00	\$ 60.00
<input checked="" type="checkbox"/> two months	\$450.00	\$225.00
<input type="checkbox"/> three months	\$1,020.00	\$510.00
<input type="checkbox"/> four months	\$1,590.00	\$795.00

- ☐ An extension for \_\_\_\_ months has already been secured and the fee paid therefor of \$ \_\_\_\_\_ is deducted from the total fee due for the total months of extension now requested.

#### 4. Fee Calculation

CLAIMS AS AMENDED						
	Claims Remaining After Amendment		Highest No. Previously Paid	Present Extra	AT Rate Of	Total Fees
Total Claims	64	Minus	64	0	x \$50.00 each =	+\$ 00.00
Independent Claims	16	Minus	16	1	x \$200.00 each =	+\$ 00.00
First presentation of multiple dependent claim(s)					\$ 360.00	+\$ 00.00
<b>SUB-TOTAL</b> =						<b>\$ 00.00</b>
<b>TOTAL FEE =</b>						<b>\$ 00.00</b>

5. Fee Payment

- ☐ No Fee is to be paid at this time.
- ☐ A check for \$00.00 to cover the extension fees is enclosed.
- ☒ The Commissioner is hereby authorized to charge \$200.00 to Deposit Account No. **50-0653**.

☒ The Commissioner is also hereby authorized to charge any additional fees associated with this paper, or credit any overpayment to **Deposit Account No. 50-0653**.

Date: April 15, 2005

Respectfully submitted,

By: 

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**RESPONSE UNDER 37 C.F.R. §1.111**

Commissioner for Patents  
P.O. Box 1450  
Mail Stop Amendment  
Alexandria, VA 22313-1450

SIR:

In response to the Office Action dated November 15, 2004, please amend the above-identified application as follows:

**AMENDMENTS TO THE SPECIFICATION**

Please amend paragraphs [0050] and [0051] as follows:

[0050] Once parties are appropriately introduced and validated, a trusted application can establish access between the Secure POP interface level and internal Secure POP security mechanisms (Block 402). These internal Secure POP security mechanisms ("Secure Core") may then generate a user profile referencing the set of expected accesses.

[0051] The Secure Core can include trusted databases of resource providers available through the ~~generate a user profile referencing the set of expected accesses.~~ Secure POP, as well as pre-established access rules created by resource providers. User and resource profiles can then be combined to create a session profile, which represents a set of accesses that are both allowed and expected for a given user in a given session. Session profile data may then be presented to the resource requester as a list of accessible resources (Block 403). In the preferred embodiment, such a list may mask resource provider identities by allowing the Secure Core to maintain a correspondence list in a protected, trusted application. By masking resource provider identities,

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